

Understanding the Earthquake generation process: key results and present grand challenges

Massimo Cocco

Istituto Nazionale di Geofisica e Vulcanologia, Rome massimo.cocco@ingv.it

# Outline



- 1. The Earthquake Generation Process
  - Tectonic Processes (why?)
  - Observing and detecting (*where?*)
  - Faults and seismic waves (*how? how big?*)

#### 2. Prevention and Forecasting

- Hazard and Risk
- Earthquake probabilities of occurrence

#### 3. Impact on society

- Preparedness and Awareness
- Communicating scientific results to the public
- 4. Lessons learned and Conclusive Remarks

#### INGV

### **Tectonic Processes**

### Driving forces





Β.







### Tectonic Processes

- Plate tectonics sustains stress accumulation on plate boundaries and faults\*
- The mechanical state of these faults controls the energy release, the size and frequency of earthquakes
- Earthquakes ruptures perturb the state of stress in areas surrounding the causative sources, which implies that faults interact and "speak each other"
- All the previous processes affect earthquake occurrence
- \* A fracture in a rock formation along which there has been movement of the blocks of rock on either side of the plane of fracture. It is a discontinuity in a volume of rock, across which there has been significant displacement along the fractures as a result of plate tectonics. Faults are caused by plate-tectonic forces.



### Earthquakes deform the landscape and shake the Earth surface



### Strong Earthquakes break the Earth surface due to slip at depth



Strike Slip Surface Breaks

Kokoxili earthquake Mw 7.9 (Qinghai Province, China)



INGV

#### Novel observations and interpretations from simulations

The challenge is to reconcile geological observations of natural faults and seismological and geodetic measurements with laboratory tests on experimental faults



Daub, E. G., and J. M. Carlson, Friction, Fracture, and Earthquakes, Ann. Rev. Cond. Matter Phys. 1, 397-418 (2010).

EGU 2013

ING



## Observing and detecting

- Progress in monitoring systems (multidisciplinary networks) and in collecting high quality data
- Multidisciplinary high-precision observations in nature (real world) and laboratories
- Progress in modeling and simulating earthquake processes through high performance computing facilities
- Integrated approach to research infrastructures for promoting multidisciplinary and cross-disciplinary research

#### 1- The Earthquake Generation Process





- Earthquakes do not occur everywhere, but on specific areas
- Major earthquakes break well known active faults
- Seismicity clusters around major faults, but also off fault
- Distributed and clustered seismicity are related to strain accumulation

Relocations from Hauksson and Shearer (2005)

EGU 2013

### Southern California Earthquake Center: SCEC Community Fault Segment Model

ING





#### The anatomy of a seismogenic fault investigated on the field



Chester et al. (2004, 2005)

### Deep Scientific Drilling of active faults: an example from Japan



The 1995 Kobe earthquake (Japan)



INGV

Hirabayashi 45-24,25-1,2 (hira\_45-24,25-1,2.jpg) ←







(Okubo and Dieterich, 1984)



INGV

#### 1- The Earthquake Generation Process







#### Generation of seismic waves on the fault plane



Earthquakes initiate on a small volume. Processes associated with nucleation are not well known We don't have direct observations of earthquake nucleation

### Rupture Propagation during the 1992 Landers (California) earthquake



Earthquake Ruptures:

ING

- Initiate
- Propagate
- Arrest

on complex fault surface

Numerical model by Aochi and Madariaga

### Observed Ground Motions during the M 7.7, Taiwan, 1999 earthquake

INGV



### Seismological observations: Rupture History Earthquake ruptures propagate within the Earth crust

Fault dimensions scale with Earthquake Magnitude: A M 9 event can break ≈1000 km



ING

MODELED

OBSERVED



### The New Zealand earthquake doublet: (1) 2010 Darfield (September 3<sup>rd</sup>) M 7.1 (2) 2011 Christchurch (February 21st) M 6.3

6.1





#### Measuring coseismic deformation from satellite Earth observations

**Courtesy of Salvatore Stramondo** 



# Preliminary conclusions I

- Scientists have reached substantial progress in understanding the physical processes causing earthquakes
- We still have a limited knowledge of how earthquake rupture initiates (earthquake nucleation)
- We have a better comprehension on why, where and how earthquakes occur

• How can we use this scientific progress for prevention and forecasting ?

# Contributions to seismic prevention: predicting ground shaking during earthquakes





ING

A mosque stood with a few other structures amid the rubble of collapsed buildings in the town of Golcuk, 60 miles east of Istanbul.

Associated Press Photo by Enric Marti Taken from New York Times, August 20, 1999

Progress in modeling seismic wave generation and propagation results in a better understanding of earthquake effects and impact on buildings and infrastructures

EGU 2013

#### 2. Prevention and Forecasting



# Probalistic shaking scenarios



-- Earthquake Planning Scenario --Rapid Instrumental Intensity Map for HRC\_HS Scenario Scenario Date: Tue Dec 3, 2002 04:00:00 AM PST M 6.7 N37.57 W121.97 Depth: 0.0km



PLANNING SCENARIO ONLY -- PROCESSED: Tue Dec 3, 2002 12:48:05 PM PST

PERCEIVED SHAKING	Not felt	Weak	Light	Moderate	Strong	Very strong	Severe	Violent	Extreme
POTENTIAL DAMAGE	none	none	none	Very light	Light	Moderate	Moderate/Heavy	Heavy	Very Heavy
PEAK ACC.(%g)	<.17	.17-1.4	1.4-3.9	3.9-9.2	9.2-18	18-34	34-65	65-124	>124
PEAK VEL.(cm/s)	<0.1	0.1-1.1	1.1-3.4	3.4-8.1	8.1-16	16-31	31-60	60-116	>116
INSTRUMENTAL INTENSITY	I	11-111	IV	V	VI	VII	VIII	IN	100

EGU 2013

## Predicting ground shaking and earthquake effects

![](_page_21_Figure_2.jpeg)

Ground shaking (amplitudes of ground motion) depends on:

INGV

- The earthquake size
- The propagation of seismic wave within the Earth lithosphere
- The amplification effects of ground motions due to the near surface geological conditions

#### Fluvial Basin

![](_page_22_Picture_1.jpeg)

# Tsunami hazard: predicting tsunami waves and coastal inundation

![](_page_22_Figure_3.jpeg)

Courtesy of Fabrizio Romano

EGU 2013

![](_page_23_Picture_0.jpeg)

# Hazard and Risk

- The observations and the understanding of earthquake ground motions are therefore transferred in the seismic hazard map
- This is a scientific achievement directly applied to prevention and preparedness

![](_page_23_Figure_5.jpeg)

EGU 2013

#### 2. Prevention and Forecasting

![](_page_24_Picture_1.jpeg)

![](_page_24_Picture_2.jpeg)

![](_page_24_Picture_3.jpeg)

![](_page_24_Picture_4.jpeg)

# Vulnerabilty

• Vulnerability is a set of prevailing or consequential conditions, which adversely affects an individual, a household or a community's ability to mitigate, prepare for or respond to the earthquake hazard

![](_page_25_Picture_0.jpeg)

# Seismic Classification

![](_page_25_Figure_3.jpeg)

![](_page_26_Picture_1.jpeg)

# Earthquake Probabilities

![](_page_26_Figure_3.jpeg)

Faenza & Marzocchi, GJI 2003

EGU 2013

#### 2. Prevention and Forecasting

![](_page_27_Picture_1.jpeg)

![](_page_27_Figure_2.jpeg)

![](_page_27_Figure_3.jpeg)

INGV

![](_page_28_Picture_1.jpeg)

### Forecasting the rate of earthquake occurrence

![](_page_28_Picture_3.jpeg)

#### Forecasted and real seismicity

EGU 2013

![](_page_29_Picture_1.jpeg)

- Earthquake scientists have achieved important results in understanding the effects of ground shaking on human environment
- This progress represents a fundamental contribution to earthquake prevention, seismic hazard assessment and risk mitigation
- Substantial progress has been achieved in forecasting the rate of earthquake occurrence and the probability of occurrence
- These results have to be validated and transferred to decision makers and to the society
- Transferring this information requires shared procedures, awareness and preparedness

ING

![](_page_30_Picture_1.jpeg)

# Science for Society: from understanding to increasing resilience to natural hazards

![](_page_30_Figure_3.jpeg)

# Lessons from recent earthquakes

 Sumatra M 9.3 (Indonesia) 2004 • L'Aquila M 6.1 (Italy) 2009 • Haiti M 7.0 2010 • Maule M 8.8 (Chile) 2010 Christchurch M 7.2 (New Zealand) 2010 Tohoku M 9.0 (Japan) 2011 -Virginia M 5.8 (USA) 2011

# .... as well as from other events

Eyjafjallajökull volcano (Iceland)

Kathrina Hurricane

Irene Hurricane

Caveat: all these events are characterized by hazard assessment and event forecast

![](_page_33_Picture_1.jpeg)

## Key players in risk mitigation

- Scientists are responsible to create the conditions for new discoveries and scientific progress
- They are also responsible to make these achievements available to society
- Transferring scientific results to decision makers requires formal approaches, protocols and distinction of roles
- Communicating scientific results to public requires a cross-disciplinary approach and the involvement of different stakeholders
- Promoting Preparedness and Awareness of society to natural hazards requires cross-disciplinary and tailored approaches

Are we ready to communicate risk to society?

![](_page_33_Picture_9.jpeg)

L'Aquila

![](_page_34_Picture_1.jpeg)

April 6<sup>th</sup> 2009  $M_w$  6.3 at 03:32 am

Faglia di Paganica

ING

- High quality monitoring Ris
- Vulnerability was known
- Revised hazard map
- An unprecedented data
  set for aftershocks
- High complexity of involved coseismic processes

![](_page_34_Picture_9.jpeg)

![](_page_35_Picture_0.jpeg)

# Scientific achievements and products transferred to decision-makers

- Seismic hazard map for the region (updated in 2004 and law in 2005)
- Probability of occurrence of a M 5+ earthquake was relatively high (≈10-15%, at 10 50 years) and was published in several papers [Pace et al., 2006; Faenza et al., 2003; Cinti et al., 2006]
- Vulnerability of several building and historical heritage in L'Aquila city was known [GNDT-LSU, 1999; SIGOIS, 2006]
- Historical seismicity and measured tectonic strain in this area indicated high earthquake potential
- Several seismic sequences were registered in the area in previous years (i.e., 1985) with main shocks  $M \cong 4$  which were not followed by any destructive event

Censimento di vulnerabilità degli edifici pubblici strategici e speciali nelle regioni Abruzzo, Basilicata, Calabria, Campania, Molise, Puglia e Sicilia Orientale

![](_page_36_Picture_8.jpeg)

![](_page_36_Picture_9.jpeg)

- This earthquake has left the scientific community and the involved stakeholders quite evident lessons concerning the necessary prevention actions, as well as the urgent need to train and educate the society to live in earthquake prone areas
- These lessons should spur all the public authorities towards a better use of seismic hazard maps and available information concerning the vulnerability of the Italian territory
- These lessons demand for urgent initiatives to increase the resilience of the Italian society to natural hazards
- Unfortunately, these lessons are still unheard
- It is in the best interest of all countries to reduce earthquake vulnerability through awareness, preparation, and mitigation.

ING

![](_page_38_Picture_1.jpeg)

# **Progress in solid Earth sciences**

- Data availability as well as high quality monitoring infrastructures and experimental facilities
- Development of Early warning systems
- Long-term hazard assessment
- Short term probability and operational forecasting
- Proper approach to face forecasting, but risks in focusing on prediction (misinterpreting forecasting)

![](_page_39_Picture_1.jpeg)

# Key actions requiring crossdisciplinary approaches

- Education, training, capacity building
- Empowerment of local communities
- Improving access to scientific results
- Dissemination exploiting new IC Technologies
- Emergency planning and disaster management

### Thank you for attention

![](_page_40_Picture_1.jpeg)

Massimo Cocco massimo.cocco@ingv.it

Istituto Nazionale di Geofisica e Vulcanologia